

DATE: July 8, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate of Mailing or Transmission**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or electronically transmitted to the U.S. Patent and Trademark Office on the date shown below.

/Leslie A. Van Leeuwen Reg. No. 42,196/      July 8, 2007  
Leslie A. Van Leeuwen Reg. No. 42,196      Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Accapadi, et al.

For: System and Method for CPI Load Balancing in SMT Environments

Enclosed are:

- X Information Disclosure Statement;
- X Modified form 1449 listing 6 references;
- X copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- X This IDS is being filed pursuant to CFR § 1.97(b), therefore no fee as set forth in § 1.17(p) is believed to be due. In addition, if an Office Action has been mailed, then this IDS is being filed pursuant to CFR § 1.97(c) as, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447.
- X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By /Leslie A. Van Leeuwen Reg. No. 42,196/  
Leslie A. Van Leeuwen, Reg. No. 42,196  
Attorney for Applicant  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>In re application of:</b>	§ <b>Group Art Unit: 2122</b>
<b>Accapadi, et. al.</b>	§
<b>Serial No.: 10/671,057</b>	§
<b>Filed: September 25, 2003</b>	§
<b>Title: <u>System and Method for CPI</u></b>	§ <b>Intellectual Property Law Dept.</b>
<b><u>Load Balancing in SMT</u></b>	§ <b>11400 Burnet Road</b>
<b><u>Environments</u></b>	§ <b>Austin, Texas 78758</b>

**INFORMATION DISCLOSURE STATEMENT**

The Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By /Leslie A. Van Leeuwen Reg. No. 42,196/  
Leslie A. Van Leeuwen, Reg. No. 42,196  
Attorney for Applicant  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified)

Serial No. **10/671,057**

Applicant: **Accapadi**

Filing Date: **9/25/2003**

Group: **2122**

Atty. Docket No. **AUS920030414US1**

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

**U. S. PATENT DOCUMENTS**

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Classification Class / Subclass</u>	<u>Filing Date if Appropriate</u>
_____	AA	6,549,930	04-2003	Chrysos et al.	718/104	
_____	AB	5,963,911	10-1999	Walker et al.	705/7	
_____	AC	5,630,128	05-1997	Farrell et al.	718/103	
_____	AD	2004/0268350	12-2004	Welland et al.	718/100	
_____	AE					
_____	AF					
_____	AG					
_____	AH					
_____	AI					
_____	AJ					
_____	AK					
_____	AL					

**FOREIGN PATENT DOCUMENTS**

<u>Examiner Initial</u>		<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Classification</u>	<u>Translation Yes No</u>
_____	AM					
_____	AN					
_____	AO					
_____	AP					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

<u>Examiner Initial</u>		
_____	AQ	Chen et al., "TEST: a Tracer for Extracting Speculative Threads," IEEE Computer Society, Proceedings of the International Symposium on Code Generation and Optimization, March 2003, pp. 301-312.
_____	AR	Fowler et al., "Using Performance Reflection in Systems Software," USENIX Association, HotOS IX: The 9 <sup>th</sup> Workshop on Hot Topics in Operating Systems, May 2003, pp. 97-101.
_____	AS	
_____	AT	
_____	AU	

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.